

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	3

	Complete if Known
Application Number	10/735,030
Filing Date	December 12, 2003
First Named Inventor	SAADAT, VAHID C.
Art Unit	3762
Examiner Name	Unassigned
Attorney Docket Number	021496-002513US

			U.S. PATENT DO	CUMENTS+	
Examiner Initials* ·	Cite No.1	Document Number  Number Kind Code <sup>2</sup> (il known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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ı	28	WO	02/060328	A1	08-08-2002	Rex Medical, Inc.		
	29	wo	03/092509	A1	11-13-2003	Scimed Life Systems, Inc.		0
	30	WO	03/094785	A1	11-20-2003	Egan		
	. 31	WO	03/105732	A1	12-24-2003	Cancel et al.		⊠
W	32	wo	2004/004542	2 A2	01-15-2004	Satiety, Inc.		

Examiner Signature	/Pavitra Kotini/	Date Considered	02/20/2007	
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EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Kind Codes of U.S. Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Sind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English tanguage Translation is attached.

Substitu	te for form 1449A/PT	0		Complete if Known		
				Application Number	10/735,030	
INFO	DRMATION	l DIS	SCLOSURE	Filing Date	December 12, 2003	
STA	TEMENT E	BY A	PPLICANT	First Named Inventor	SAADAT, VAHID C.	
	•			Art Unit	3762	
	(use as many sheets as necessary)			Examiner Name	Unassigned	
Sheet	2	of	3	Attorney Docket Number	021496-002513US	

					FOREIGN PA	TENT DOCUM	ENTS	•	
<u> </u>	!	0:1-	F	oreign Patent Docu	nent		Name of Patentee or	Pages, Columns, Lines,	
	aminer itials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>4</sup> (# known)		Publication Date MM-DD-YYYY	Applicant of Cited  Document	Where Relevant Passages or Relevant Figures Appear	T°	
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		· 37	WO	2004/103189	A1	12-02-2004	C.R. Bard, Inc.		
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	•	39	WO.	2005/037152	A1	04-28-2005	Synecor, LLC		

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PTO/SB/08B (08-03)

Substitute	for form 1449	B/PTO		Complete If Known		
INFORMATION DIGGL COURT				Application Number	10/735,030	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	December 12, 2003	
			PPLICANT	First Named Inventor	SAADAT, VAHID C.	
				Art Unit	3762	
(use as many sheets as necessary)		Examiner Name	Unassigned			
Sheet	3	of	3	Attomey Docket Number	021496-002513US	

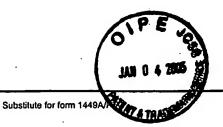
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NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2			
PK	40	CHUTTANI et al., "A Novel Endoscopic Full-thickness Plicator for Treatment of GERD: An Animal Model Study," Gastrointestinal Endoscopy, Vol. 26, No. 1,(2002), pp. 116-122.				
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Examiner Signature /Pavitra Kotini/ Date Considered 02/20/2007		Rotini/ Date Cons		0/2007
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Sheet 1 of 13

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Attorney Docket Number	021496-002513US

		Document Number			
Examiner Initials*	Cite No. <sup>1</sup>	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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W	40	4,592,339	06-03-1986	Kumak et al.	
	41	4,592,356	06-03-1986	Gutierrez	

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60335945 v1

Substitute for form 1449A/PTO Complete if Known 10/735,030 **Application Number** INFORMATION DISCLOSURE Filing Date December 12, 2003 STATEMENT BY APPLICANT First Named Inventor SAADAT, VAHID C. **Art Unit** 3762 (use as many sheets as necessary) **Examiner Name** Unassigned 2 021496-002513US Sheet 13 **Attorney Docket Number** 

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Examiner Initials*	Cite No.	Document Number  Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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<del>-   -  </del>	77	5,224,946	07-06-1993	Havhur		<del></del>
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<del>-  </del> -	80	5,250,053	10-05-1993		der	
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=xamıner Signature		/Pavitra Ko	tini/	Date Considered	02/20/20	007

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Substitu	ite for form 1449A/P	ro	•		Complete if Known
				Application Number	10/735,030
INFO	ORMATION	1 DI	SCLOSURE	Filing Date	December 12, 2003
STA	TEMENT 8	BY A	PPLICANT	First Named Inventor	SAADAT, VAHID C.
				Art Unit	3762
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Sheet	3	of	13	Attorney Docket Number	021496-002513US

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-	92	5,327,914	07-12-1994	<del></del>	lain	· · · · · · · · · · · · · · · · · · ·
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$\longrightarrow$	113	5,425,744	06-20-1995		n et al.	•
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	118	9,101,200		McPhers		
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$\longrightarrow$	120	5,437,681	08-01-1995		e et al.	
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	Art Unit	3762		
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Applicant is to place a check mark here if English language Translation is attached. 

60335945 v1

Substitu	ite for form 1449A/P	то		Complete if Known				
				Application Number	10/735,030			
INFO	ORMATION	1 DI	SCLOSURE	Filing Date	December 12, 2003			
STA	TATEMENT BY APPLICANT		First Named Inventor	SAADAT, VAHID C.				
				Art Unit	3762			
(use as many sheets as necessary)			s necessary)	Examiner Name	Unassigned			
Sheet	5	of	13	Attorney Docket Number	021496-002513US -			

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Examiner' Initials*	Cite No.	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of P Applicant of Ci	ratentee or lited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
PK	165	165 5,624,381 04-29		Kietu	ırakis	rigures repeat				
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xaminer Signature		/Pavitra Kotin		Date Considered	02/20	0/2007				

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Substitu	te for form 1449A/F	РТО			Complete if Known				
	<u>-</u>			Application Number	10/735,030				
INFO	DRMATIO	N DIS	SCLOSURE	Filing Date	December 12, 2003				
STA	TEMENT	BY A	PPLICANT	First Named Inventor	SAADAT, VAHID C.				
				Art Unit	3762				
	(use as many sheets as necessary)			Examiner Name	Unassigned				
Sheet	6	of	13	Attorney Docket Number	021496-002513US				

	,	Document Number	Dublication Coto	Name of D		Pages Columns Lines Where
xaminer Initials*	Cite No. <sup>1</sup>	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Pa Applicant of Cit		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Substitu	te for form 1449A/PT	ro		Complete if Known			
				Application Number	10/735,030		
	-		SCLOSURE	Filing Date	December 12, 2003		
STA	TEMENT E	BY A	PPLICANT	First Named Inventor SAADAT, VAHID C.			
				Art Unit	3762		
. <u> </u>	(use as many sh	eets a	s necessary)	Examiner Name	Unassigned		
Sheet	7	of	13	Attorney Docket Number	021496-002513US		

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		Document Number			4.4	
Examiner · Initials*	Cite No. <sup>1</sup>	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY		Patentee or Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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60335945 v1

Substitu	te for form 1449A/P1	го		Complete if Known		
	•			Application Number	10/735,030	
INF(	DRMATION	1 DI	SCLOSURE	Filing Date	December 12, 2003	
STA	TEMENT B	BY A	APPLICANT	First Named Inventor	SAADAT, VAHID C.	
				Art Unit	3762	
	(use as many sh	eets a	s necessary)	Examiner Name	Unassigned	
Sheet	theet 8 of 13		Attorney Docket Number	021496-002513US		

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Examiner Initials*	Cite No.1	Document Number  Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of C	Patentee or ited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner Signature	T	/Pavitra Koti	<del></del>	Date Considered		20/2007

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Substitute for form 1449A/PTO Complete if Known **Application Number** 10/735,030 INFORMATION DISCLOSURE December 12, 2003 Filing Date STATEMENT BY APPLICANT First Named Inventor SAADAT, VAHID C. Art Unit 3762 (use as many sheets as necessary). **Examiner Name** Unassigned 13 021496-002513US Sheet **Attorney Docket Number** 

		Document Number				
Examiner Initials*	Cite No. <sup>1</sup>	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of P Applicant of Ci		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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_	362	2002/0022851	02-21-2002	Kalloo		
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Examiner Signature		/Pavitra Kotin		Date Considered		20/2007

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				Application Number	10/735,030	
INF	ORMATIO	N DIS	SCLOSURE	Filing Date	December 12, 2003	
STA	TEMENT	BY A	PPLICANT	First Named Inventor	SAADAT, VAHID C.	
			•	Art Unit	3762	
	(use as many s	heets a	s necessary)	Examiner Name	Unassigned	
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		Document Number	· -			
Examiner Initials*	Cite No.1	Number Kind Code <sup>2</sup> (# known)	Publication Date MM-DD-YYYY	Applicant of C	Patentee or ited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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60335945 v1

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				Application Number	10/735,030	_	
INFO	ORMATIO	N DI	SCLOSURE	Filing Date	December 12, 2003 .	_	
STA	TEMENT	BY A	<b>APPLICANT</b>	First Named Inventor	SAADAT, VAHID C.	_	
1		•	•	Art Unit	3762		
	(use as many si	heets a	s necessary)	Examiner Name	Unassigned		
Sheet	Sheet 11 of 13		Attorney Docket Number	021496-002513US			

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60335945 v1

Substitut	te for form 1449A/PT	го			Complete if Known
				Application Number	10/735,030
INFO	DRMATION	l Di	SCLOSURE	Filing Date	December 12, 2003
STA	TEMENT E	BY A	PPLICANT	First Named Inventor	SAADAT, VAHID C.
			• .	Art Unit	3762
	(use as many sh	eets a	s necessary)	Examiner Name	Unassigned
Sheet	eet 12 of 13		Attorney Docket Number	021496-002513US	

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60335945 v1

Substitute	for form 1449B/P	то		Complete if Known			
NEO	014 ATIO1	LDIC	OL OCUDE	Application Number	10/735,030		
			CLOSURE	Filing Date	December 12, 2003		
STAT	EMENT I	BY A	PPLICANT	First Named Inventor	SAADAT, VAHID C.		
	•			Art Unit	3762		
(0	ise as many s	heets as	necessary)	Examiner Name	Unassigned		
Sheet	13	of	13	Attorney Docket Number	021496-002513US		

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